# IAP6 Rec'd PCT/PTO 13 NOV 2006

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	RANSMITTAL LETTER TO DESIGNATED/ELECTED	ATTORNEY'S DOCKET NUMBER 7251/96026 U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		
CO	NCERNING A SUBMISSION	ON UNDER 35 U.S.C. 371	10/593,386	
	ATIONAL APPLICATION NO. 32005/001709	INTERNATIONAL FILING DATE 4 May 2005	PRIORITY DATE CLAIMED 17 May 2004	
	INVENTION M FOR SHIELDING IINTEGRAT	ED CIRCUITS		
APPLICA	NT(S) FOR DO/EO/US	ED GINGGITG		
	eming Walker It herewith submits to the United Sta	tes Designated/Elected Office (DO/EO/	JS) the following items and other information:	
1.		oncerning a submission under 35 U.S.C. 371.		
2.		submission of items concerning a submission		
3.		tional examination procedures (35 U.S.C. 37		
4. 🛛	The US has been elected (Article 31).			
5.	A copy of the International Application	as filed (35 U.S.C. 371 (c)(2))		
		d only if not communicated by the Internation	al Bureau).	
	b. has been communicated by		,	
	<u> </u>	cation was filed in the United States Receivir	ng Office (RO/US).	
6.		International Application as filed (35 U.S.C. 3		
	a. is attached hereto.			
	b. has been previously submi	tted under 35 U.S.C. 154(d)(4).		
7.	Amendments to the claims of the Intern	national Application under PCT Article 19 (35	U.S.C. 371(c)(3))	
	a. are attached hereto (require	ed only if not communicated by the Internatio	nal Bureau),	
	b. have been communicated l	by the International Bureau.		
	c. have not been made; howe	ever, the time limit for making such amendme	nts has NOT expired.	
	d. have not been made and w	vill not be made.		
8.	An English language translation of the	amendments to the claims under PCT Article	e 19 (35 U.S.C. 371(c)(3)).	
9.	An oath or declaration of the inventor(s	s) (35 U.S.C. 371(c)(4))		
10.	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	annexes of the International Preliminary Example 2015	mination Report under PCT	
item	s 11 to 20 below concern documents)	or information included:		
11. 🛚	An Information Disclosure Statement u	nder 37 CFR 1.97 and 1.98.		
12.	An assignment document for recording	. A separate cover sheet in compliance with	37 CFR 3.28 and 3.31 is included.	
13.	A preliminary amendment.			
14.	An Application Data Sheet under 37 C	FR 1.76.		
15.	A substitute specification.			
16.	A power of attorney and/or change of a			
17.	A computer-readable form of the seque	ence listing in accordance with PCT Rule 13th	er.2and 37 CFR 1.821- 1.825.	
18.		onal Application under 35 U.S.C. 154(d)(4).		
19.	A second copy of the English language	e translation of the international application ur	nder 35 U.S.C. 154(d)(4).	

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICA 10/593,386	TION NO. (if know	n, see 37 CFR 1.5)	INTERNATIONAL A PCT/GB2005/0017		TION NO.	ATTORNEY'S DO 7251/96026	OCKET NUMBER
20. Other its	ems or information	:					
Internatio	nal Search Re	port and Written	Opinion of Internatio	nal Sea	rching Autho	ority	
The follo	wing fees have be	en submitted				CALCULATIONS	PTO USE ONLY
21. Basic	national fee (37 Cl	FR 1.492(a))		\$3	100	\$	
22. Exami	nation fee (37 CFF	R 1.492(c))					
	JS indicates all cla		onal preliminary examinati s of PCT Article 33(1)-(4).			\$	
If the written opinion IPEA/US Search fee (37 Control Internation International Search previously	indicates all claims FR 1.445(a)(2)) ha nal Searching Auth rch Report prepare communicated to	Us or the international statisfy provisions of a statisfy provisions of a statisfy provisions of the interiority	I preliminary examination ref PCT Article 33(1)-(4)nternational application to	the USP	\$0 FO as an \$100 ee or \$400	\$	
	TOTAL OF 21, 2					\$ 0.00	0
sequence electronic	listing in compliar medium) 37 CFR	nce with 37 CFR 1.82 1.492(j))	paper over 100 sheets (e. 21(c) or (e) or computer pr paper or fraction thereof.	xcluding ogram lis	ting in an		
Total Sheets	Extra Sheets		Iditional 50 or fraction to a whole number)		RATE		
-100 =	/50 =			x \$250	)	\$	
Surcharge of \$13 after the date of c	0.00 for furnishing commencement of	any of the search fe the national stage (3	e, examination fee, or the 37 CFR 1.492(h)).	oath or d	eclaration	\$	
CLAIMS	NUME	BER FILED	NUMBER EXTRA		RATE	\$	
Total claims		-20 =		X	\$50	\$	
Independent cla		-3 =			\$200	\$	
MULTIPLE DEPE	NDENT CLAIM(S	) (if applicable)	TOTAL OF ABO		\$360	\$	
						3	
Applicant c	laims small entity s	status. See 37 CFR	1.27. Fees above are redu		SUBTOTAL =	\$	
	f <b>\$130.00</b> for furnis ate (37 CFR 1.492		nslation later than 30 mont			\$	<u></u>
Claimed priority di	010 (01 01 74 1.402		то	TAL NAT	IONAL FEE =	\$	
		gnment (37 CFR 1.2 CFR 3.28, 3.31). <b>\$40</b>	1(h)). The assignment mu	st be acc	ompanied +	\$	
			TOTA	L FEES	ENCLOSED =	\$	
						Amount to be refunded:	\$
						Amount to be charged:	\$

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A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed. Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0920. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. The PTO-2038 should only be mailed or faxed to the USPTO. However, when paying the basic national fee, the PTO-2038 may NOT be faxed to the USPTO. ADVISORY: If filing by EFS-Web, do NOT attach the PTO-2038 form as a PDF along with your EFS-Web submission. Please be advised that this is not recommended and by doing so your credit card information may be displayed via PAIR. To protect your information, it is recommended paying fees online by using the electronic payment method. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. 8 NO V 5006 SEND ALL CORRESPONDENCE TO: L. Friedman Welsh & Katz, Ltd. L. Friedman 120 South Riverside Plaza NAME 22<sup>nd</sup> Floor Chicago, IL 60606 37,135 (312) 655-1500 - Telephone REGISTRATION NUMBER (312) 655-1501 - Facsimile Ifriedman@welshkatz.com

# IAP6 Rec'd PCT/PTO 13 NOV 2006

Applicant:

WALKER, John Fleming

Serial No.:

10/593,386

Title:

SYSTEM FOR SHIELDING INTEGRATED CIRCUITS

371 Submission of:

PCT/GB2005/001709 Filed 4 May 2005

Docket No.

7251/96026

## **Certificate of Mailing**

Date of Deposit: 8 November 2006

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail, postage prepaid, addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. This mailing includes form PTO-1390 Transmittal Letter (3 pgs.); Information Disclosure Statement (3 pgs.); forms PTO/SB/08a (2 pgs.) and PTO/SB/08b (1 pg.); copy of International Search Report (1 pg.) and copy of Written Opinion of International Searching Authority (5 pgs.); copy of each of listed documents except for US patents and US patent publications; and return receipt postcard.

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	John Fleming Walker	)
Serial No.:	10/593,386	)
371 Submissi	on of PCT/GB2005/001709	)
371 Subimosi	Filed 4 May 2005	)
For:	System For Shielding	)
	INTEGRATED CIRCUITS	)

## **INFORMATION DISCLOSURE STATEMENT**

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir or Madam:

In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant submits a list of documents, general remarks, attached Forms PTO/SB/08a and 08b, and a copy of each of the listed documents except for the U.S. patents and U.S. patent publications.

U.S. Patent Number	Date of Issue	Inventor
4,214,918	29 July 1980	Gat et al.
4,583,011	15 April 1986	Pechar
4,766,516	23 August 1988	Ozdemir et al.
4,920,402	24 April 1990	Nakaya et al.
5,336,624	09 August 1994	Walden
5,468,990	21 November 1995	Daum
5,783,846	21 July 1998	Baukus et al.
5,821,582	13 October 1998	Daum
5,824,571	20 October 1998	Rollender et al.

U.S. Patent Number	Date of Issue	<u>Inventor</u>
5,866,933	02 February 1999	Baukus et al.
5,883,000	16 March 1999	Pasch
5,930,663	27 July 1999	Baukus et al.
5,973,375	26 October 1999	Baukus et al.
6,064,110	16 May 2000	Baukus et al.
6,117,762	12 September 2000	Baukus et al.
6,294,816	25 September 2001	Baukus et al.
6,360,321	19 March 2002	Gressel et al.
6,496,119	17 December 2002	Otterstedt et al.
6,515,304	04 February 2003	Kash et al.
6,613,661	02 September 2003	Baukus et al.
6,720,656	13 April 2004	Matsumoto
U.S. Patent Publication	Date of Publication	<u>Inventor</u>
US 2001/0033012 A1	25 October 2001	Kömmerling et al.
US 2002/0173131 A1	21 November 2002	Clark, Jr. et al.
		•
Foreign Patent Documents	Date of Publication	Country
WO 97/29567	14 August 1997	PCT
WO 01/50530		
W O 01/30330	12 July 2001	PCT
WO 01/54194	12 July 2001 26 July 2001	PCT PCT
WO 01/54194	26 July 2001	PCT

#### Non-Patent Documents

Sonntag et al., "Infineon Introduces Chip Card Controllers for Improved Security of Electronic Identity Cards and Passports" (Infineon Technologies AG, 7 Jul 2004), available on the World Wide Web at:

www.infineon.com/cgi/ecrm.dll/jsp/showfrontend.do?lang=EN&BV\_SessionID=@@@@0590998578.1109855404@@@@&BV\_EngineID=ccchadddmlfiddkcflgcegndfifdfoh. 0&content\_type=NEWS&content\_oid=107623&news\_nav\_oid=-9979

John Fleming Walker Serial No. 10/593,386 Page 3

Some of the above-listed documents were identified in the International Search Report for the captioned application. A copy of the International Search Report and a copy of the Written Opinion of the International Searching Authority are attached.

It is respectfully requested that the above documents be given consideration by the Examiner and made of record in the captioned patent application.

Respectfully submitted, WELSH & KATZ, LTD.

Ву

8 November 2006

L. Friedman

Registration No. 37,135

WELSH & KATZ, LTD 120 South Riverside Plaza, 22<sup>nd</sup> Floor Chicago, IL 60606

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Si	Substitute for form 1449A/PTO		Coi	mplete if Known
0.	300 March 101 March 14407 07 1 1 0		Application Number	10/593,386
	NFORMATION DISC	CLOSURE	Filing Date	
5	STATEMENT BY AF	PPLICANT	First Named Inventor	John Fleming Walker
			Art Unit	
	(Use as many sheets as ne	cessary)	Examiner Name	
Sheet	1	of 3	Attorney Docket Number	7251/96026

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Α	us-4,214,918	07/29/1980	Gat et al.	
	В	∪s-4,583,011	04/15/1986	Pechar	
	C	us-4,766,516	08/23/1988	Ozdemir et al.	
	D	us-4,920,402	04/24/1990	Nakaya et al.	
	E	∪s- 5,336,624	08/09/1994	Walden	
	F	us- 5,468,990	11/21/1995	Daum	
	G	us- 5,783,846	07/21/1998	Baukus et al.	
	Н	us- 5,821,582	10/13/1998	Daum	
	1	us- 5,824,571	10/20/1998	Rollender et al.	
	J	us- 5,866,933	02/02/1999	Baukus et al.	
	K	∪s- 5,883,000	03/16/1999	Pasch	
	L	∪s- 5,930,663	07/27/1999	Baukus et al.	- 22
	М	us- 5,973,375	10/26/1999	Baukus et al.	
	N	us-6,064,110	05/16/2000	Baukus et al.	
	0	us-6,117,762	09/12/2000	Baukus et al.	
	Р	us-6,294,816	09/25/2001	Baukus et al.	
	Q	us-6,360,321	03/19/2002	Gressel et al.	
	R	us- 6,496,119	12/17/2002	Otterstedt et al.	

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	WO 97/29567	08/14/1997	Gressel et al.		
	ВВ	WO 01/50530	07/12/2001	Kommerling et al.		
	CC	WO 01/54194	07/26/2001	Mangell		
	DD	EP 0 585 601	03/09/1994	Baukus		
	EE	EP 0 940 851	09/08/1999	Baukus		

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. This is indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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s	ubstitute for form 1449A/PTO			Coi	mplete if Known	
				Application Number	10/593,386	
ı	NFORMATION DIS	CLO	SURE	Filing Date		
9	STATEMENT BY AF	PLI	CANT	First Named Inventor	John Fleming Walker	·
				Art Unit		
	(Use as many sheets as ne	cessary)		Examiner Name		
Sheet	2	of	3	Attorney Docket Number	7251/96026	フ

			U.S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (il known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	S	us- 6,515,304	02/04/2003	Kash et al.	
	T	us- 6,613,661	09/02/2003	Baukus et al.	
	U	us- 6,720,656	04/13/2004	Matsumoto	
	V	us- 2001/0033012 A1	10/25/2001	Kommerling et al.	
	W	us- 2002/0173131 A1	11/21/2002	Clark, Jr. et al.	
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FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ°			
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Examiner		Date	
Signature		Considered	(
	1	<u> </u>	L

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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**Examiner Name** 

3

Sheet

3

Attorney Docket Number

			-
		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	1	Sonntag et al., "Infineon Introduces Chip Card Controllers for Improved Security of Electronic Identity Cards and Passports" (Infineon Technologies AG, 7 Jul 2004), available on the World Wide Web at:	
		www.infineon.com/cgi/ecrm.dll/jsp/showfrontend.do?lang=EN&BV_SessionID=@@@@059 0998578.1109855404@@@@&BV_EngineID=ccchadddmlfiddkcflgcegndfifdfoh.0&content type=NEWS&content_oid=107623&news_nav_oid=-9979	
		·	
Examiner Signature		Date Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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